



AUTOMATE

YOUR DATA COLLECTION

YOUR UNIQUE EMAIL FOR YOUR SMSF IS

AUTOMATE YOUR DATA COLLECTION

To authorise the automatic collection of your Contract Note data, please complete the following sections in the attached form:

- Account Surname/Given Names
- Account Number
- Email Copy 1 (please enter the above Unique Email Address)
- Client signature

YOUR BROKER

Morgans Financial Limited
1800 777 946
GPO Box 202
Brisbane QLD 4000

Note: Morgans Financial Limited former name was RBS Morgans.

Client Acknowledgement Form

Electronic Trade Confirmations (Contract Notes – Options & Equities)

ECONF CAF 2.5.DOC

In accordance with ASIC Market Integrity Rule 3.4.1, RBS Morgans Limited ("RBSM") is required to provide confirmations to clients in respect of each market transaction entered into on a client's instructions or on a client's Discretionary Account. These confirmations (contract notes) can be provided to you either in writing, electronically (via e-mail) or as otherwise permitted by the ASX.

In relation to Options & Derivatives trading and in accordance with ASX Clear Operating Rule 4.15.1, RBSM is also required to provide detailed monthly reporting statements in a timely manner to support a client's Options trading activity. These reports can also be supplied to you in hard copy (paper) or electronically (via e-mail).

In signing this form, I ("the client") permit RBSM to provide only electronic trade confirmations (contract notes) for all trades entered into on behalf of the accounts listed below, unless otherwise advised in writing. Where my RBSM trading account is identified as an Options account I also authorise the delivery of monthly reporting statements via email only.

By authorising this, the client also confirms they do not wish to receive such in hard copy form. The client has a responsibility to ensure that RBSM is informed of any change to their contact details (including email). As with all change of particulars, such instructions should be submitted in writing and duly signed by the client where the account has been established as email only. If you have any further requirements regarding the receiving of electronic Confirmations or your Options reporting statements, please contact your Advisor.

Note: Please use black ink and capital letters when completing form, please tick box below for Options accounts.

RBS Morgans Account 1				
Account surname/ Company name:		Given name(s):		OFFICE USE ONLY
Account number:		Tick if Options account:	<input type="checkbox"/>	EQ/OPT
Primary email address:				CHANGED
Email copy 1:				CHANGED
Email copy 2:				CHANGED
Client signature(s):		Date:		CHECKED
RBS Morgans Account 2				
Account surname/ Company name:		Given name(s):		OFFICE USE ONLY
Account number:		Tick if Options account:	<input type="checkbox"/>	EQ/OPT
Primary email address:				CHANGED
Email copy 1:				CHANGED
Email copy 2:				CHANGED
Client signature(s):		Date:		CHECKED
RBS Morgans Account 3				
Account surname/ Company name:		Given name(s):		OFFICE USE ONLY
Account number:		Tick if Options account:	<input type="checkbox"/>	EQ/OPT
Primary email address:				CHANGED
Email copy 1:				CHANGED
Email copy 2:				CHANGED
Client signature(s):		Date:		CHECKED

If appropriate return original, completed form to: EDP, RBS Morgans, GPO Box 202, Brisbane QLD 4001